



Trading Fees & Charges

Effective Date: 17 Nov 2023

Securities Trading Fees	Brokerage Fee	0.5% of each transaction (Min. HK\$300 per trade) (via telephone) (Negotiable for the large amount of transaction)
		0.05% of each transaction (Min. HK\$80 per trade) (via internet trading)
	Stamp Duty (Collected by HKSAR Government)	0.1% of each transaction (The stamp duty charges HK\$1, even though its calculation is below HK\$1)
	HK Levy (Collected by SFC)	0.0027% of each transaction amount
	FRC Levy (Collected by FRC)	0.00015% of each transaction amount
	Trading Fee	0.00565% of each transaction amount
	CCASS Fee	0.003% of each transaction (Min. charge HK\$3, Max. charge HK\$200)
	Overdue Interest Rate	Prime + 10% (plus HK\$100 per each stock)
Physical Handling with Settlement Charges	Physical Deposit	HK\$5 per certificate of Transfer Stamp Duty Deed (collected by HKSAR Government)
	Return Physical Deposit	HK\$5 per lot (min HK\$100)
	Physical Withdrawal (Customer must apply for the stock transfer procedures by themselves)	HK\$3.5 per lot (collected by HKSCC) Additional HK\$100 each share
	SI/ISI Receipt via CCASS	Free of charge
	SI/ISI Delivery via CCASS	0.05% of value of eligible securities, min. HK\$100
	Registration & Transfer Fee	HK\$1.50 per board lot
Agents Charges	Collection of Dividend (cash Note 1 or scrip)	0.5% of Dividend amount (min. charge HK\$30) (additional dividend collection fee 0.12% collected by HKSCC)
	Collection of Shares (Including Bonus, Take-Offer, Open-offer, Cash-Offer, Right Issues, Listed Shares or Unlisted Shares)	HK\$2.00 per board lot, min. charge HK\$20 (Fees imposed by Registrar will be counted separately)
	Exchange of Shares, Subs Right, Subs Take -Offer, Subs Open-Offer, Subs Cash-Offer etc.	HK\$2.00 per board lot, min charges HK\$20
	Corporate Action (Share Subdivision/Share Consolidation/Share Conversion)	Free of charge
	Claim for Uncollected Benefit Entitlements	HK\$1,000 per claim plus related charges
IPO Fee	IPO Application (Electronic IPO)	HK\$50 per application
	IPO Application (Yellow Form)	HK\$50 per application
Securities Expired Charges	CBBC, Expired subscription warrant / Exercise subscription warrant in-the-money	
	Without Cash Value	Free of charge
	With Cash Value	HK\$100 per contract HK\$0.8 per board lot will be charged by HKEx separately
Request for resending Daily / Monthly Statement	Daily/ Monthly Statement within recent 3 months	E-statements are Free of charge HK\$50 per statement by Post
	Daily/ Monthly Statement before recent 3 months	HK\$50 each by E-statement HK\$50 each by Post

(note1) Currency of cash dividend/ interest is based on the currency delivered by CCASS without prior notice.

1. Fees charged by Hong Kong Government, HKEx or SFC may be changed without prior notice.

2. Huayu Securities Limited reserves the right to adjust any fees, promotions and other services at any time.