



China Connect (Northbound) – Trading Fees & Charges

Securities Trading	Fees
Brokerage	0.25% of each transaction (Min. CNY 100 per trade)
Handling Fee (Charged by SSE / SZSE)	0.00487% of each transaction amount
Management Fee (Charged by CSRC)	0.002% of each transaction amount
Transfer Fee (Charged by China Clear-Shanghai / China Clear-Shenzhen)	0.002% of each transaction amount
Transfer Fee (Charged by HKSCC)	0.002% of each transaction amount
Stamp Duty (Charged by SAT)	0.1% of each transaction (SELL side only)
Portfolio Fee (Charged by CCASS)	Daily stock portfolio value x 0.008% / 365 (Accrued daily and collected on a monthly basis)
Nominees Services (Corporate Action)	Fees
Registration & Transfer Fee	CNY 2.00 per lot (odd shares count as one lot)
Collection of Cash Dividend / Scrip Dividend	0.5% on dividend amount (min. CNY 30.00)
Collection of Bonus Shares	CNY 2.00 per lot (min. CNY 30.00) (odd shares count as one lot)
Rights Subscription / Take up Offer etc	CNY 0.8 per lot plus handling fee CNY 150.00 (odd shares count as one lot)
Collection of Rights	Free of Charge
Share Consolidation / Share Sub-division	Free of Charge
Claim on unclaimed benefit entitlement	Related charges plus handling fee CNY 1,000.00 per claim

* Huayu Securities Limited reserves the right to adjust any fees and other services at any time without prior notice.

* All of the above charges are calculated in CNY.

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